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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 8 + 1 reference

Application Number 09/824,280

Filing Date April 2, 2001

First Named Inventor Kenichiro Yamauchi et al.

Art Unit 2615

Examiner Name James A. Fletcher

Attorney Docket No. MTS-3246US

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ENCLOSURES (Check all that apply)

- Fee Transmittal Form
 - Fee Attached
- Amendment/Reply
 - After Final
 - Affidavits/Declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/
Incomplete Application
- Response to Missing Parts under
37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation,
Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
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- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

return postcard
PTO Form 1449
1 reference

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TC 2600

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual	Allan Ratner	Registration No. (Attorney/Agent)	19,717
Signature			
Date	December 4, 2003		

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

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Signature		Date	December 4, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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PATENT

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No: 09/824,280
Applicant: Kenichiro Yamauchi et al.
Filed: April 2, 2001
Title: TRANSFER RATE CONTROLLER, DECODING SYSTEM, MEDIUM,
AND INFORMATION AGGREGATE

TC/A.U.: 2615
Examiner: James A. Fletcher
Confirmation: 9237
Docket No.: MTS-3246US

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SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

- 1) JP 10-285196

Reference 1) includes an English language abstract and a partial English language translation.

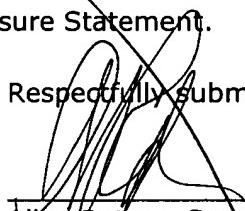
Reference 1) was cited by a foreign patent office in a counterpart foreign application. A copy of the Japanese Office Action is submitted herewith. MPEP 609 IIIA(3), second paragraph.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,


Allan Ratner, Reg. No. 19,717
Attorney for Applicants

AR/fp

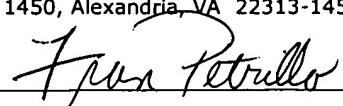
Enclosures: PTO Form 1449
(#1) Reference
Transmittal Form

Dated: December 4, 2003

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

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December 4, 2003


Fran Petrucco